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1040 U.S. PRO

UTILITY PATENT APPLICATION TRANSMITTAL  
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. : 41711/SAH/X2  
Inventor(s) : Michael E. See, John W. Bailey, Charles L. Panza, Yuri Pikover and  
Geoffrey C. Stone  
Title : DETERMINISTIC USER AUTHENTICATION SERVICE FOR  
COMMUNICATION SWITCH  
Express Mail Label No. : EL496231786US

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

Date: June 21, 2001

1. X FEE TRANSMITTAL FORM (Submit an original, and a duplicate for fee processing).

2. IF A CONTINUING APPLICATION

X This application is a continuation of patent application No. 09/525,506.

Prior application information: Examiner N. Iqbal; Group Art Unit: 2184

— This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4),  
to provisional Application No. .

3. APPLICATION COMPRISED OF

Specification

34 Specification, claims and Abstract (total pages)

Drawings

8 Sheets of formal drawing(s) (FIGS. 1 to 10)

Declaration and Power of Attorney

— Newly executed

X Unexecuted declaration

— Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)

4. — Microfiche Computer Program (Appendix)

5. — Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

— Computer Readable Copy

— Paper Copy (identical to computer copy)

— Statement verifying identity of above copies

6. APPLICANT(S) STATUS UNDER 37 CFR § 1.27

— Applicant(s) and any others associated with it/them under § 1.27(a) are a SMALL  
ENTITY

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09/886930



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09/886930-062101

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**7. ALSO ENCLOSED ARE**

- ☒ Preliminary Amendment  
☐ A Petition for Extension of Time for the parent application and the required fee are enclosed as separate papers  
☐ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed as separate papers  
☐ This application is owned by pursuant to an Assignment recorded at Reel , Frame  
☐ Information Disclosure Statement (IDS)/PTO-1449  
☐ Copies of IDS Citations  
☐ Certified copy of Priority Document(s) (*if foreign priority is claimed*)  
☐ English Translation Document (*if applicable*)  
☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).  
☐ Other


**8. CORRESPONDENCE ADDRESS**

**CHRISTIE, PARKER & HALE, LLP, P.O. BOX 7068, PASADENA, CA 91109-7068**  
**Customer Number: 23363**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By



Art Hasan

Reg. No. 41,057

626/795-9900

SAH/jhg

**FEE TRANSMITTAL  
UTILITY PATENT APPLICATION**

**DATE: June 21, 2001**

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Inventor(s) : Michael E. See, John W. Bailey, Charles L. Panza, Yuri Pikover and  
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**FEE DETERMINATION**

CLAIMS AS FILED					
	NUMBER FILED	NUMBER EXTRA	SMALL ENTITY RATE	LARGE ENTITY RATE	FEE
TOTAL CLAIMS	71- 20	51	x \$9.00	51 x \$18.00	\$918.00
INDEPENDENT CLAIMS	37- 3	33	x \$40.00	34 x \$80.00	\$2,720.00
MULTIPLE-DEPENDENT CLAIMS FEE			\$135.00	\$270.00	\$0.00
BASIC FEE			\$355.00	\$710.00	\$710.00
TOTAL FILING FEE					\$4,348.00
List Independent Claims: 44, 45, 46, 47, 50, 51-55, 59, 61, 65-67, 71, 72-76, 79-84, 92, 93, 96, 97, 101, 106, 109-113					

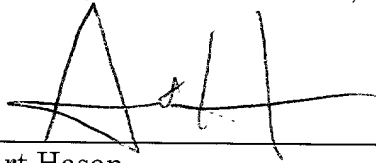
**METHOD OF PAYMENT**

- ☒ Payment Enclosed: Check for \$4,268.00
- ☒ The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **entire pendency** of the application to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. **A duplicate copy of this sheet is enclosed.**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By

  
Art Hasan  
Reg. No. 41,057  
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SAH/jhg

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